



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
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Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/073,881	05/06/98	RAO	M T4903.CIP

020450
ALAN J HOWARTH
THORPE NORTH WESTERN
P O BOX 1219
SANDY UT 84091-1219

0222/0603

NOT ASSIGNED

1643

DATE MAILED:

06/03/98

NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☐ non-small entity is \$ 790.

- ☒ 1. The statutory basic filing fee is:
- ☐ missing.
 - ☐ insufficient.
- Applicant must submit \$ 790 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- ☐ 2. Additional claim fees of \$ _____, including any multiple dependent claim fees, are required.
- \$ _____ for _____ independent claims over 3.
- \$ _____ for _____ dependent claims over 20.
- \$ _____ for multiple dependent claim surcharge.
- Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.
- ☒ 3. The oath or declaration:
- ☐ is missing or unexecuted.
 - ☐ does not cover the newly submitted items.
 - ☐ does not identify the application to which it applies.
 - ☐ does not include the city and state or foreign country of applicant's residence.
- An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.
- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.
- A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
- ☐ 8. The application does not comply with the Sequence Rules.
See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."
- ☐ 9. OTHER: _____

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

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CALVIN E. THORPE
VAUGHN W. NORTH
M. WAYNE WESTERN
GRANT R. CLAYTON*
ALAN J. HOWARTH, Ph.D.
KARL R. CANNON
RANDALL B. BATEMAN+
DAVID W. O'BRYANT
FRANK W. COMPAGNI
GARRON M. HOBSON

Registered Patent Attorneys

KENNETH H. TARBET, Ph.D.
PETER M. DE JONGE
Attorneys at Law

WEILI CHENG, Ph.D.
DAVID McKINNEY

Technical Consultants

LAW OFFICES

THORPE, NORTH & WESTERN

A LIMITED LIABILITY PARTNERSHIP

SALT LAKE AREA OFFICE

U.S. MAIL ADDRESS:

P.O. BOX 1219
SANDY, UTAH 84091-1219

STREET ADDRESS:

8180 SOUTH 700 EAST, SUITE 200
SANDY, UTAH 84070

TELEPHONE (801) 566-6633

FACSIMILE (801) 566-0750

GENERAL E-MAIL: PATLAW@TNW.COM

HOME PAGE: <http://www.tnw.com>

PATENT APPLICATION

Docket: T4903.CIP

Sector

INTELLECTUAL PROPERTY LAW
PATENTS, TRADEMARKS,
COPYRIGHTS, UNFAIR
COMPETITION AND
RELATED MATTERS

State Bar Admissions Include:
Utah, California, Idaho*,
New Jersey and Texas

LOGAN OFFICE

1770 N. RESEARCH PARK WAY, #114
NORTH LOGAN, UTAH 84241
TELEPHONE (435) 750-0146

LAS VEGAS OFFICE

Of Counsel: Neil J. Beller*
2345 REDROCK STREET, SUITE 310
LAS VEGAS, NEVADA 89102
TELEPHONE (702) 368-7767

*Admitted in Nevada

* A Professional Corporation IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Mahendra S. Rao and Tahmina Mujtaba

Serial No.: 09/073,881

Filed: May 6, 1998

For: A COMMON NEURAL PROGENITOR FOR
THE CNS AND PNS

RESPONSE TO NOTICE TO FILE MISSING
PARTS OF APPLICATION

ATTENTION: BOX MISSING PARTS
Assistant Commissioner for Patents
Washington, D. C. 20231

Sir:

In response to the Notice to File Missing Parts of Application mailed June 3, 1998, please find enclosed herewith the following items for filing in the United States Patent and Trademark Office in connection with the above-identified patent application:

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service First Class Mail service, postage prepaid, on this 31st day of July, 1998, and is addressed to: Assistant Commissioner for Patents, Box: Missing Parts, Washington, D.C. 20231.

Alan J. Howarth

Alan J. Howarth

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(1) a copy of the Notice to File Missing Parts of Application - Filing Date Granted;

(2) an Assignment from the inventors, Mahendra S. Rao and Tahmina Mujtaba, to the University of Utah;

(3) an Assignment from the University of Utah to the University of Utah Research Foundation;

(4) a Declaration Claiming Small Entity Status for a Nonprofit Organization for the University of Utah, for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code;

(5) a Declaration Claiming Small Entity Status for a Nonprofit Organization for the University of Utah Research Foundation, for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code;

(6) a Declaration, Power of Attorney and Petition; and

(7) check No. 4123 in the amount of \$540.00 to cover the filing fee for the above-identified application and the surcharge fee pursuant to 37 C.F.R. § 1.16(e).

These fees have been calculated as follows:

Basic filing fee for a small entity	=	\$	395.00
Fee for independent claims (2 - 3 x \$41)	=	\$	0.00
Fee for total claims (14 - 20 x \$11)	=	\$	0.00
Assignment recordation fee (Two Assignments)	=	\$	80.00
Surcharge fee for a Small Entity	=	\$	65.00
TOTAL	=	\$	540.00

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The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 20-0100 for the following:

- x Any patent application processing fees under 37 C.F.R. § 1.17.
x Any additional filing fees required under 37 C.F.R. § 1.16.

Please address all future correspondence in connection with the above-identified patent application to

Customer No. 020450

Please direct all telephone and facsimile communications to:

Alan J. Howarth
Telephone: (801) 566-6633
Facsimile: (801) 566-0750

Dated this 31st day of July, 1998.

Respectfully submitted,



ALAN J. HOWARTH
Attorney for Applicant
Registration No. 36,553

THORPE, NORTH & WESTERN, L.L.P.
P. O. Box 1219
Sandy, Utah 84091-1219

AJH:mkk
Enclosures
Docket No.: T4903.CIP

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